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SUBSIDIARY BODY FOR SCIENTIFIC AND TECHNOLOGICAL ADVICE
Fifth session
Bonn, 25-28 February 1997

REPORT OF THE SUBSIDIARY BODY FOR SCIENTIFIC AND TECHNOLOGICAL ADVICE ON THE WORK OF ITS FIFTH SESSION, BONN, 25-28 FEBRUARY 1997

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I. OPENING OF THE SESSION
(Agenda item 1)

1. The fifth session of the Subsidiary Body for Scientific and Technological Advice (hereinafter referred to as "the SBSTA") was held at the Stadthalle Bad Godesberg, Koblenzer Strasse 80, Bonn, from 25 to 28 February 1997.

2. The Chairman of the SBSTA, Mr. Tibor Faragó, opened the session on 25 February 1997. He welcomed all delegates and observers from non-parties, United Nations bodies and organizations, and intergovernmental and non-governmental organizations.

3. The Executive Secretary made a statement in which he noted that this was the first meeting in Bonn, and that the secretariat had now assumed the responsibilities of setting up and managing conference facilities. He also noted that limited options had been available for the choice of venue, and as a result, at the current session, it had been necessary to set up temporary facilities for some meetings.

4. He regretted that delays in providing documentation had arisen from organizational and staffing problems resulting from relocation, from the short period of time for preparing documentation since the December 1996 sessions and the constraints on the capacity of the United Nations Office in Geneva, including its application of the General Assembly rule that a translated document may only be distributed when all six language versions are available.

5. He also highlighted the linkages between the provisional agenda of the SBSTA and those of the Ad Hoc Group on the Berlin Mandate (AGBM) and the Subsidiary Body for Implementation (SBI) at their concurrent sessions and the importance of maintaining a coherent overview of the work of the different bodies.

6. Statements were made by representatives of 4 Parties, including one speaking on behalf of the Group of 77 and China.

II. ORGANIZATIONAL MATTERS
(Agenda item 2)

A. Adoption of the agenda
(Agenda item 2 (a))

7. At its 1st meeting, on 25 February, the SBSTA considered the provisional agenda contained in document FCCC/SBSTA/1997/1.

8. A statement was made by the representative of a Party.

9. At its 1st meeting, on 25 February, the SBSTA adopted the following agenda :

1. Opening of the session.

2. Organizational matters:

   (a) Adoption of the agenda;

   (b) Election of officers other than the Chairman;

   (c) Organization of the work of the session.

3. Cooperation with relevant international organizations.

4. National communications:

   (a) Communications from Parties included in Annex I to the Convention;

   (b) Communications from Parties not included in Annex I to the Convention.

5. Methodological issues.

6. Mechanisms for consultation with non-governmental organizations.

7. Development and transfer of technologies.

8. Activities implemented jointly under the pilot phase.

9. Report on the session.

B. Election of officers other than the Chairman
(Agenda item 2 (b))

10. At its 1st and 4th meetings, on 25 and 28 February, respectively, the SBSTA considered the sub-item on the election of officers other than the Chairman.

11. At its 4th meeting, on 25 February, the Chairman informed the SBSTA that informal consultations with the representatives of regional groups were making good progress, but that it would not yet be possible to elect a Vice-Chairman and a Rapporteur at this session.

12. Statements were made by representatives of two Parties.[1]

C. Organization of the work of the session
(Agenda item 2 (c))

13. At its 1st meeting, on 25 February, the SBSTA considered the sub-item on the organization of the work of the session.

14. The Chairman recalled that services for formal meetings with interpretation would be available in the morning, from 10 a.m. to 1 p.m., and in the afternoon, from 3 p.m. to 6 p.m. on 25 February, in the afternoon only on 26 February, and in the mornings only on 27 and 28 February.

15. The Chairman noted that the SBI, at its second session, had requested the secretariat to organize an informal workshop on the implementation aspects of specific policies and measures taken by Parties included in Annex I to the Convention, with a view to exploring mechanisms to facilitate the exchange of experience in carrying out national programmes to implement the Convention. He noted further that the afternoon session of the informal workshop concerned the topic of the transfer of technology, and was scheduled to take place on Wednesday, 26 February, from 3 p.m. to 6 p.m. He informed the SBSTA that he had agreed to make the SBSTA facilities available for this session. The Chairman of the afternoon segment of the workshop would provide an oral report to the SBSTA on the outcome when the agenda item related to this issue would be considered by the SBSTA later in the session. The SBSTA agreed to proceed on the basis of the proposed schedule of work contained in annex II of document FCCC/SBSTA/1997/1.

16. The Chairman reminded the SBSTA that the Bureau of the Conference of the Parties (COP) had decided previously to continue its policy of allowing representatives of non-governmental organizations access to the conference room floor before and after the meetings, but not during the meetings.

17. The Chairman informed the SBSTA that it was his intention, as during the previous session of the SBSTA, to invoke the flexibility accorded by the Bureau of the COP to the Chairman of each subsidiary body, in the specific context of that body, to grant three representatives of non-governmental organizations, access to the floor, as observers.

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[1] In addition, on 7 March 1997, pursuant to the 5th session of the SBSTA, and during the 5th meeting of AGBM at its 6th session, representatives of the African Group and the Latin American and Caribbean Group announced that, after consultations between their groups and having reached agreement on the present and forthcoming nominations, they proposed Mr. Soobaraj Sok Appadu from Mauritius as Vice-Chairman and Mr. Alvaro Jose Rodriguez Gómez from Colombia as Rapporteur. The Chairman of the SBSTA welcomed these announcements and informed that he would propose at the next session of the SBSTA that these candidates be elected by acclamation, as proposed.

18. The Chairman also informed the SBSTA that the applications of one intergovernmental and 13 non-governmental organizations had been screened by the secretariat on the basis of the provisions of Article 7.6 of the Convention and in accordance with the procedures established by the COP for the admission of organizations as observers. The SBSTA agreed to grant access to these organizations for the current session of the SBSTA, pending formal action on their accreditation by the COP at its third session. These organizations are indicated in the lists of attendance (see paragraph 21 below).

19. Statements were made by representatives of 5 Parties, including one speaking on behalf of the European Community and its member States.

D. Attendance

20. The lists of attendance at the fifth session of the SBSTA are given in document FCCC/1997/INF.2 and FCCC/1997/INF.2/Add.1

E. Documentation

21. The documents prepared for the fifth session of the SBSTA, as well as other documents which were made available, are listed in annex I below.

III. COOPERATION WITH RELEVANT INTERNATIONAL ORGANIZATIONS

(Agenda item 3)

1. Proceedings

22. At its 1st, 2nd, 3rd and 4th meetings, on 25, 27 and 28 February, respectively, the SBSTA considered the item on cooperation with relevant international organizations. It had before it a document prepared by the secretariat, which included a short report on research and systematic observation made by the World Meteorological Organization (WMO), in consultation with other organizations participating in the Climate Agenda, FCCC/SBSTA/1997/2. It also had before it a compilation of views submitted by Parties, FCCC/SBSTA/1997/MISC.2. Two additional documents, IPCC Technical Paper II, An Introduction to Simple Climate Models Used in the IPCC Second Assessment Report, and IPCC Technical Paper III, Stabilisation of Atmospheric Greenhouse Gases: Physical, Biological and Socio-economic Implications, were made available by the secretariat of the IPCC, for information purposes.

23. The Chairman of the Intergovernmental Panel on Climate Change (IPCC) made a statement, and also responded to questions.

24. Statements were made by representatives of 18 Parties, including one speaking on behalf of the European Community and its member States and another speaking on behalf of the Alliance of Small Island States (AOSIS).

25. A representative of the WMO made a statement. He also made a statement on behalf of the World Health Organization (WHO).

2. Conclusions

26. At its 4th meeting, on 28 February, having considered a proposal made by the Chairman, on the basis of informal consultations, the SBSTA:

(a) Noted with appreciation the information provided by WMO, the Intergovernmental Oceanographic Commission of the United Nations Educational, Scientific and Cultural Organization (UNESCO/IOC), the United Nations Environment Programme (UNEP) and other international organizations and programmes participating in the Climate Agenda[2] in response to the request made by the SBSTA at its third session;

(b) Recalled the Articles 4.1(g) and 5 of the Convention regarding research and systematic observations and recognized the importance of national activities and the coordination activities of the international organizations involved in the Climate Agenda. The SBSTA invited Parties and organizations participating in the Climate Agenda to accelerate their efforts related to commitments under the Convention;

(c) Requested the secretariat to cooperate with WMO and other organizations participating in the Climate Agenda in identifying, through consultation with Parties, the needs of Parties, in particular developing country Parties, for systematic observations, climate research and capacity building, and report on that to the SBSTA at its future sessions;

(d) Recognized the need to improve systematic observations and to promote climate research activities, particularly in developing countries. In this context, it indicated the need to build endogenous capacities and capabilities and to provide assistance to allow further participation by developing countries. The SBSTA noted that funds to meet these needs are inadequate and, therefore, urged Parties to provide additional resources for this purpose through existing funding mechanisms;

(e) Welcomed the holding of the Conference on the World Climate Research Programme: Achievements, Benefits and Challenges, to be held at Geneva from 26 to 28 August 1997, and requested its co-sponsors, namely WMO, IOC and ICSU, to make a full report of the Conference available to the SBSTA;

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[2] In addition to WMO, UNEP, UNESCO and its IOC and WHO, these are the Food and Agriculture Organisation of the United Nations (FAO) and International Council of Scientific Unions (ICSU).

(f) Requested organizations and programmes participating in the Climate Agenda to provide periodic reports on their work to the SBSTA;

(g) Noted that Articles 4.1(g) and 5 of the Convention are broader in scope than the areas covered by the Climate Agenda, and recalled that, at its third session, it had requested the secretariat to explore the situation with respect to other areas of research, observation and data processing, relevant to Articles 4.1(g) and 5, and report on this, as appropriate, at a future session or sessions of the SBSTA;

(h) Took note of a project being developed by WHO, WMO and UNEP to establish and Interagency Network on Climate Change and Human Health with a view to assisting countries to assess the human health implications of climate change and improve access to relevant information;

(i) Noted with appreciation the interest expressed by the secretariat of the Convention on Migratory Species (CMS), as well as the secretariats of other relevant conventions, such as, *inter alia*, the Convention to Combat Desertification and the Ramsar Convention, in cooperating with the Convention bodies on related climate change issues;

(j) Thanked the Chairman of the IPCC for his informative report on the ongoing work of the IPCC;

(k) Noted that Technical Paper II on Simple Climate Models and Technical Paper III on Global Stabilization of Atmospheric Greenhouse Gas Concentrations were completed and had been made available to the SBSTA, and expressed its appreciation to the IPCC for these papers. The SBSTA decided to draw these papers to the attention of the AGBM;

(l) Heard a report by the Chairman of the IPCC on the development of a technical paper on the Implications of Emissions Limitations and Reduction Proposals (TP4). There was considerable discussion, which reflected the divergent views expressed by Parties;

(m) Noted that its Chairman stated, without objection, that the Joint Working Group (JWG) of officers of the IPCC and the Convention is a body intended only to facilitate technical coordination between the IPCC and the SBSTA and it is not a decision making body. Therefore, discussions of the JWG on this subject of a proposed TP4 should be viewed as advisory regarding any of the issues raised by Parties concerning IPCC assessment of the implications of emissions limitations and reduction proposals;

(n) Also noted, with regard to the provision of guidance to the IPCC on the development of longer-term emission profiles, that seven Parties have submitted their contribution contained in document FCCC/SBSTA/1997/MISC.2 and requested the IPCC to take into account and where appropriate reflect these contributions in the development of Technical Paper 4.

IV. NATIONAL COMMUNICATIONS
(Agenda item 4)

A. Communications from Parties included in Annex I to the Convention
(Agenda item 4 (a))

1. Proceedings

27. At its 2nd and 4th meetings, on 25 and 28 February, respectively, the SBSTA considered the sub-item on communications from Parties included in Annex I to the Convention.

28. Statements were made by representatives of 7 Parties, including one speaking on behalf of the European Community and its member States.

2. Conclusions

29. At its 4th meeting, on 28 February, having considered a proposal made by the Chairman, on the basis of informal consultations, the SBSTA:

(a) Took note of the report of the secretariat on the progress made in the process of review of the first national communications from Parties included in Annex I to the Convention;

(b) Encouraged Parties included in Annex I to the Convention to submit their second national communication and inventory by 15 April 1997, or another date determined by Decision 9/CP.2 of the second session of the COP for the country Parties with economies in transition;

(c) Requested the secretariat to prepare a workplan, including a tentative schedule of the in-depth review of the second national communications of Parties included in Annex I to the Convention. Such workplan should include an initial compilation and synthesis by the seventh session of the SBSTA of all communications received by 15 April 1997, as well as a schedule for the preparation and distribution of the in-depth review for each Party, subject to modification, in response to requests by the Parties concerned.

B. Communications from Parties not included in Annex I to the Convention
(Agenda item 4 (b))

1. Proceedings

30. At its 2nd and 4th meetings, on 25 and 28 February, respectively, the SBSTA considered the sub-item on communications from Parties not included in Annex I to the Convention. It had before it a document FCCC/SB/1997/INF.1, which had been prepared by the secretariat.

31. Statements were made by representatives of 5 Parties, including one speaking on behalf of the Group of 77 and China, and another on behalf of the European Community and its member States.

2. Conclusions

32. At its 4th meeting, on 28 February, having considered a proposal made by the Chairman, on the basis of informal consultations, the SBSTA:

(a) Recalling provisions of Article 12.5 of the Convention, noted that the timing for submission of the initial communication from each Party not included in Annex I to the Convention is within three years of the entry into force of the Convention for that Party, or of the availability of financial resources in accordance with Article 4.3. Parties that are least developed countries may make their initial communication at their discretion. Many developing country Parties have yet to receive funding for the preparation of their initial national communication, while some others have not yet requested such funding;

(b) Recalled decision 10/CP.2 of the second session of the COP, in particular the request to the Convention secretariat, in accordance with Article 8.2(c) of the Convention, to facilitate assistance to Parties, particularly developing country Parties, in the preparation of their initial communications, through the organization of workshops at the regional level; to provide a forum for the exchange of experiences in the development of emission factors and activity data for the estimation of the inventory, as well as, on request, for other elements of information in the initial communication; and to provide a report to the SBI and the SBSTA at each of their sessions.

V. METHODOLOGICAL ISSUES
(Agenda item 5)

1. Proceedings

33. At its 2nd and 4th meetings, on 25 and 28 February, respectively, the SBSTA considered the item on methodological issues.

2. Conclusions

34. At its 4th meeting, on 28 February, having considered a proposal made by the Chairman, on the basis of informal consultations, the SBSTA:

(a) Convened an informal consultative group, as agreed during the fourth session of the SBSTA, under the chairmanship of two delegates. They considered methodological issues, including the report of the JWG which, among other items, discussed a division of labour between the IPCC and bodies of the Convention. The SBSTA noted the view expressed by the JWG that the IPCC, cooperating with other institutions and the United Nations Framework Convention on Climate Change (UNFCCC) secretariat, should take the lead for methods related to greenhouse gas inventories, climate change impacts and socio-economic cost-benefit analyses;

(b) Agreed that the eight methodological tasks identified in FCCC/SBSTA/1996/20 should be the basis for the programme of work, recognizing that some tasks could be added or combined in the future;

(c) Requested the secretariat, with respect to providing the detailed information requested for consideration of any future work programme, to develop a report, including short scoping papers on the tasks mentioned in paragraph 34 (b) above using the format in annex II below for the sixth session of the SBSTA. A summary table should also be provided. The SBSTA requested the secretariat to carry out this work in consultation with IPCC and other relevant organizations;

(d) Requested Parties, in order to support the work to be undertaken by the secretariat, to provide submissions on the content, priorities and timing of methodological activities, as well as proposals to reduce the costs of translating, publishing and disseminating, for example, instruction manuals, and on whether these tasks should be centralized or decentralized. Submissions by Parties should be provided by 15 April 1997.

VI. MECHANISMS FOR CONSULTATION WITH NON-GOVERNMENTAL ORGANIZATIONS
(Agenda item 6)

1. Proceedings

35. At its 2nd, 3rd and 4th meetings, on 25, 27 and 28 February, respectively, the SBSTA considered the item on mechanisms for consultation with non-governmental organizations.

36. Statements were made by representatives of 2 Parties, including one speaking on behalf of the European Community and its member States.

2. Conclusions

37. At its 4th meeting, on 28 February, having considered a proposal made by the Chairman, on the basis of informal consultations, the SBSTA:

(a) Noted with deep regret that the secretariat's document on mechanisms for consultation with non-governmental organizations was not yet ready, and expressed the hope that this document would be available in time for consideration at the next session of the subsidiary bodies;

(b) Invited the SBI, in light of the division of labour between the SBSTA and the SBI, to consider this document at its next session.

VII. DEVELOPMENT AND TRANSFER OF TECHNOLOGIES
(Agenda item 7)

1. Proceedings

38. At its 3rd and 4th meetings, on 27 and 28 February, respectively, the SBSTA considered the item on development and transfer of technologies. It had before it two documents prepared by the secretariat, FCCC/SB/1997/MISC.1 and its addendum. An additional document, Pilot Information Needs Survey Regarding Climate Relevant Technologies, was made available by the University of Amsterdam, IVAM Environmental Research, for information purposes.

39. At its 3rd meeting, on 27 February, a report was made by the chairman of the session on the transfer of technologies, which took place as part of the informal workshop on Wednesday, 26 February (see also paragraph 15 above).

40. Statements were made by representatives of 19 Parties, including one speaking on behalf of the European Community and its member States, and another speaking on behalf of the Group of 77 and China.

41. A statement was made by the representative of the Global Environment Facility (GEF).

42. A representative of the Parties cooperating in the Climate Technology Initiative also made a statement.

2. Conclusions

43. At its 4th meeting, on 28 February, having considered a proposal made by the Chairman, on the basis of informal consultations, the SBSTA:

(a) Took note of the progress report on technology and technology transfer prepared by the secretariat (FCCC/SBI/1997/1). It welcomed the activities underway to prepare reports on the terms of transfer, adaptation technologies, technology information centers and networks and on the technology transfer activities supported by the Parties, in particular Annex II Parties. It urged the secretariat to accelerate these activities;

(b) Noted that the information in progress report (FCCC/SBI/1996/5) on concrete measures taken by Annex II Parties to the Convention with respect to their commitments relating to the transfer of technology as called for in decision 13/CP/1, differed considerably in format, comprehensiveness and level of detail. In accordance with decision 7/CP.2, the SBSTA urged the completion of an itemized progress report by its seventh session on access to, and the transfer of, environmentally sound technology, based on the national communications from Parties included in Annex I to the Convention, due in April 1997.

44. The SBSTA requested the secretariat to:

(a) Expand the technology needs survey instrument, for example, by adapting a design to incorporate more information on specific technology needs, and to expand the survey to all non-Annex II Parties with a view to having a more comprehensive report for the seventh session of the SBSTA;

(b) Evaluate and assess the need to improve awareness about existing centers and networks and about areas in which new resources could add value. Prepare a report on existing centers and networks, modalities and financial implications regarding the possible establishment of one stop specialized information centers for technology information, as well as regional technology centers;

(c) Update the technology inventory for the seventh session of the SBSTA;

(d) Bearing in mind the need to prevent duplication, prepare a scoping paper on:

(i) Appropriate activities of governments and intergovernmental bodies in creating the conditions necessary for commercial investment in clean technologies by taking into account the special role of Annex II Parties and the special conditions of non-Annex II Parties;

(ii) The role of the private sector in developing and promoting clean technologies, including problems and prospects;

(e) Recalled the need as expressed in decision 7/CP.2 to evaluate the use of the roster of experts in facilitating the work of the Convention secretariat, taking into account the ongoing discussion on intergovernmental technical advisory panels (ITAPs). It took note of the plans of the secretariat to make use of the roster for preparing reports on technology and technology transfer by, *inter alia*, the seventh session. It requested the secretariat to also

prepare a report on its experience in using the roster for the seventh session. Many Parties urged the SBSTA to set up ITAPs without delay. Some Parties stated that this consideration should await the completion of the evaluation report; no Party offered a suggestion for overcoming the institutional problems associated with ITAPs. The SBSTA shall evaluate this issue at its next session;

(f) Requested the Parties to provide comments and information to the secretariat, for its own use, on the tasks listed in the secretariat's progress report by 31 May 1997, particularly new reports and software on environmentally sound technologies and know-how for inclusion in an update of the technology inventory and database. The SBSTA reminded Parties of the need to submit as soon as possible additional nominations for the roster of experts to the secretariat, in particular experts with a background in economic and financial aspects of the transfer of technology;

(g) Urged non-annex II Parties to cooperate with the secretariat in the survey of technology needs and capacities, to the extent that their own capacities permit and based on their current national assessments;

(h) Took note of the statement of the chairman of the Scientific and Technical Advisory Committee (STAP) of the Global Environmental Facility indicating a willingness of the STAP to cooperate with the SBSTA and the secretariat, for example, by making available reports on relevant topics. The SBSTA also took note of the statement of the chairman of the Climate Technology Initiative (CTI), particularly, the offer of the CTI to cooperate with the secretariat in its activities.

VIII. ACTIVITIES IMPLEMENTED JOINTLY UNDER THE PILOT PHASE
(Agenda item 8)

1. Proceedings

45. At its 3rd and 4th meetings, on 27 and 28 February, respectively, the SBSTA considered the item on activities implemented jointly under the pilot phase. It had before it five documents prepared by the secretariat, FCCC/SBSTA/1997/3, FCCC/SBSTA/1996/19, FCCC/SBSTA/1997/MISC.3, FCCC/SBSTA/1997/INF.1 and FCCC/SBSTA/1997/L.1.

46. Statements were made by representatives of 7 Parties, including one speaking on behalf of the Group of 77 and China, and another speaking on behalf of the of the European Community and its member States.

2. Conclusions

47. At its 4th meeting, on 28 February, having considered a proposal made by the Chairman, on the basis of informal consultations, contained in document FCCC/SBSTA/1997/L.1, the SBSTA:

(a) Recalling decision 5/CP.1, decided to adopt the uniform reporting format for activities implemented jointly (AIJ) under the pilot phase contained in annexes III and IV below. It noted that methodological work and the increasing experience in AIJ are likely to require future revisions of the uniform reporting format and decided to keep the format under regular review. The SBSTA requested the secretariat to make suggestions for such revisions as appropriate;

(b) Recalling that the COP may only consider those activities for which reports from all designated national authorities of Parties participating in the activity have been received by the secretariat (either jointly or separately), invited Parties to report on their AIJ under the pilot phase using the adopted format;

(c) Recalled that the submission deadline for reports to be considered in the synthesis report for the third session of the COP is 30 June 1997;

(d) Requested the secretariat to develop, in accordance with decision 5/CP.1, practical options with regard to the following indicative list of methodological issues related to activities implemented jointly under the pilot phase:

- Determination of environmental benefits
- Modalities for measurement, reporting and assessment
- Endogenous capacity-building
- Transfer of environmentally-sound technologies and know-how
- Considerations of costs
- Modalities for mutually beneficial incentive structures for involved Parties, acknowledging that there are no credits under the pilot phase
- Institutional arrangements

(e) Requested the secretariat to report on the progress made in developing such options, and invited interested Parties and organizations to provide support to the secretariat in this task;

IX. REPORT ON THE SESSION
(Agenda item 9)

48. At its 4th meeting, on 28 February, the SBSTA requested the Chairman, with the assistance of the secretariat, to complete the report taking into account the discussions that had taken place during the session and the need for editorial adjustments.

49. The Chairman, after thanking all participants for their constructive cooperation, declared the fifth session of the SBSTA closed.

Annex I

DOCUMENTS BEFORE THE SUBSIDIARY BODY FOR SCIENTIFIC AND TECHNOLOGICAL ADVICE AT ITS FIFTH SESSION

Documents prepared for the session

FCCC/SBSTA/1997/1	Provisional agenda and annotations.
FCCC/SBSTA/1997/2	Cooperation with relevant international organizations. Progress report on research and systematic observation.
FCCC/SBSTA/1997/3	Activities implemented jointly under the pilot phase. Uniform reporting format.
FCCC/SBSTA/1997/MISC.1	Technology and technology information needs. Comments from a Party.
FCCC/SBSTA/1997/MISC.1/Add.1	Technology and technology information needs. Comments from Parties.
FCCC/SBSTA/1997/MISC.2	Cooperation with the IPCC. Long-term emissions profiles. Comments from Parties.
FCCC/SBSTA/1997/MISC.3	Activities implemented jointly under the pilot phase. Uniform reporting format. Methodological issues. Comments from Parties.
FCCC/SBSTA/1997/INF.1	Activities implemented jointly under the pilot phase. Contact and activity information.
FCCC/SB/1997/1	Progress report on technology and technology transfer.
FCCC/SB/1997/INF.1	National communications. Communications from Parties not included in Annex I to the Convention. Secretariat activities to facilitate the provision of support to non-Annex I Parties for the preparation of their initial national communications.
FCCC/1997/INF.2 and Add.1	List of participants.

Other documents for the session

FCCC/SBSTA/1996/13	Report of the Subsidiary Body for Scientific and Technological Advice on the work of its third session, held at Geneva from 9 to 16 July 1996.
FCCC/SBSTA/1996/15	Activities implemented jointly under the pilot phase. Uniform reporting format.
FCCC/SBSTA/1996/19	Activities implemented jointly under the pilot phase. List of methodological issues.
FCCC/SBSTA/1996/20	Report of the Subsidiary Body for Scientific and Technological Advice on the work of its fourth session, held at Geneva from 16 to 18 December 1996.
FCCC/CP/1996/15	Report of the Conference of the Parties on its second session, held at Geneva from 8 to 19 July 1996. Part One: Proceedings.
FCCC/CP/1996/15/Add.1	Report of the Conference of the Parties on its second session, held at Geneva from 8 to 19 July 1996. Part Two: Action taken by the Conference of the Parties at its second session.
FCCC/SBI/1997/1	Provisional Agenda and Annotations.
FCCC/SBI/1997/3	Administrative and financial matters: Convention Budget for 1998-1999: Perspectives on financial requirements.
FCCC/SBI/1996/5	Transfer of Technology.
FCCC/SBI/1996/9	Report of the Subsidiary Body for Implementation on the work of its second session, held at Geneva from 27 February to 8 March 1996.

Annex II

FORMAT FOR SCOPING PAPERS ON METHODOLOGICAL ISSUES: SPECIFIC ACTIVITIES

1. Title:

2. Objective:

3. Rationale:
(including possible user needs)

4. Approach[3]:

5. Lead/Supporting Institutions:

6. Specific activities in 1998/1999:
(by institutions)

7. Links to other organizations:

8. Start date:
Completion date:

9. Budget:

   a. Current Resources (1997):
   (by institutions)

   b. Estimate of needed Resources (1998/1999):
   (by institutions/for all tasks)

   c. Projected Resources (1998/1999):
   (by institutions)

10. Products/Deliverables:

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[3] This could include a description of what is available and what is needed.

Annex III

UNIFORM REPORTING FORMAT: ACTIVITIES IMPLEMENTED JOINTLY UNDER THE PILOT PHASE

The uniform reporting format contained below is to be used in reporting on activities implemented jointly under the pilot phase. It is noted that the reporting should be consistent with decision 5/CP.1 and 8/CP.2 (reproduced in annexes I and II to the reporting format which will be made available). The SBSTA notes that the uniform reporting format could possibly require revision in the light of experience gained and methodological work conducted under the pilot phase.

A. Description of project

1) Title of project:
2) Participants/actors:

Please fill in one table for each participant/actor. For individuals fill in as from item "Function within activity".

Item	Please fill in if applicable
Name of organization[a]:	
Name of organization (English):	
Department:	
Acronym:	
Acronym (English):	
Function within activity:	(standard classifiers to be developed)
Street:	
Post code:	
City:	
Country:	
Telephone:	
Fax:	
E-mail:	
WWW-URL:	
Contact person (for this activity):	----------
Surname:	
First name, middle name:	
Job title:	
Direct tel:	
Direct fax:	
Direct E-mail:	

a) Organization includes: institutions, ministries, companies, non-governmental organizations, etc. involved in the activity, i.e. research institutes associated with the project, auditors, government agency closely following the activity.

3) Activity:

Item	Please fill in if applicable
General description:	
Type of project:[a]	
Location (exact, e.g. city, region, state):	
Activity starting date:	
Expected activity ending date:	
Stage of activity:[b]	Mutually agreed / in progress / completed
Lifetime of activity if different from ending date:[c]	
Technical data:[d]	

[a] For example, using Intergovernmental Panel on Climate Change (IPCC) classification: energy efficiency; renewable energy; fuel switching; forest preservation, restoration or reforestation; afforestation; fugitive gas capture; industrial processes; solvents; agriculture; waste disposal or bunker fuels.

[b] Circle the appropriate option.

[c] Methodological work will be required to define lifetime of activities.

[d] Methodological work will be required to determine for each type of activity what the minimum data requirements are.

4) Cost (to the extent possible):

Item	Year 1	Year 2	...	Year X
Cost of the project in US$:				
AIJ component in US$:				
US$ per avoided ton of CO_2 equivalent:				

Describe briefly how costs are determined:

5) Mutually agreed assessment procedures:

Describe the procedures, including name of organizations involved[a]:

[a] Please ensure that detailed contact information for all organizations mentioned is reported under section A.2 above.

B. Governmental acceptance, approval or endorsement

Bearing in mind that all activities implemented jointly under this pilot phase require prior acceptance, approval or endorsement by the Governments of the Parties participating in these activities, which shall be shown as follows:

(a) In the case of joint reporting, the report is submitted by the designated national authority of one participating Party with the concurrence of all other participating Parties as evidenced by attached letters issued by the relevant national authorities;

(b) In the case of separate reporting, the reports are submitted separately by the designated national authority of each and every participating Party. Information will only be compiled once reports have been received from all participating Parties.

1) For the activity:

* First report and joint reporting: please add copies of letters of endorsement by each designated national authority of Parties involved in the activity.

* Subsequent reports:

Activity was: ☐ suspended
☐ terminated earlier

Describe:

2) This report is a joint report:

☐ Yes, forward copy of agreement/endorsement by the designated national authorities involved
☐ No

3) General short comment by the government(s) if applicable:

C. Compatibility with and supportiveness of national economic development and socio–economic and environment priorities and strategies

Describe (to the extent possible) how the activity is compatible with and supportive of national economic development and socio–economic and environment priorities and strategies

D. Benefits derived from the activities implemented jointly project

Whenever possible, quantitative information should be provided. Failing that, a qualitative description should be given. If quantitative information becomes available, it could be submitted using the update(s). (If the amount of quantitative information is too large, the source could be indicated.)

Item	Please fill in
Describe environmental benefits in detail:	
Do quantitative data exist for evaluation of environmental benefits?	Yes/no
Describe social/cultural benefits in detail:	
Do quantitative data exist for evaluation of social benefits?	Yes/no
Describe economic benefits in detail:	
Do quantitative data exist for evaluation of economic benefits?	Yes/no

E. Calculation of the contribution of activities implemented jointly projects that bring about real, measurable and long-term environmental benefits related to the mitigation of climate change that would not have occurred in the absence of such activities

1) Estimated emissions without the activity (project baseline):
Description of the baseline or reference scenario, including methodologies applied:

2) Estimated emissions with the activity:
Description of the scenario, including methodologies applied:

Fill in the following tables as applicable:
Summary table: *Projected emission reductions*:

	GHG	Year 1	Year 2	...	Year X
A) Project baseline scenario	CO_2				
	CH_4				
	N_2O				
	other				
B) Project activity scenario[a)]	CO_2				
	CH_4				
	N_2O				
	other				
C) Effect (B-A)	CO_2				
	CH_4				
	N_2O				
	Other				
D) Cumulative effect	CO_2				
	CH_4				
	N_2O				
	Other				

[a)] Includes indirect GHG leakages.

Summary table: *Actual emission reductions*:

	GHG	Year 1	Year 2	...	Year X
A) Project baseline scenario	CO_2				
	CH_4				
	N_2O				
	other				
B) Project activity data[a)]	CO_2				
	CH_4				
	N_2O				
	other				
C) Effect (B-A)	CO_2				
	CH_4				
	N_2O				
	Other				
D) Cumulative effect	CO_2				
	CH_4				
	N_2O				
	Other				

[a)] Includes indirect GHG leakages.

F. Bearing in mind that the financing of activities implemented jointly shall be additional to financial obligations of Parties included in Annex II to the Convention within the framework of the financial mechanism as well as to current official development assistance flows, please indicate

Source of project funding including pre-feasibility phase (For each source one line)	**Amount** (US dollars)

G. Contribution to capacity building, transfer of environmentally sound technologies and know-how to other Parties, particularly developing country Parties, to enable them to implement the provisions of the Convention. In this process, the developed country Parties shall support the development and enhancement of endogenous capacities and technologies of developing country Parties

Describe briefly the transfer of environmentally sound technology and know-how including where appropriate the type of technology, terms, education, capacity building etc.

H. Additional comments, if any, including any practical experience gained or technical difficulties, effects, impacts or other obstacles encountered

Fill in as appropriate:

1) Any practical experience gained:

2) Technical difficulties:

3) Negative impacts and/or effects encountered:

Whenever possible, quantitative information should be provided. Failing that, a qualitative description should be given. If quantitative information becomes available, it could be submitted using the update(s). (If the amount of quantitative information is too large, the source could be indicated.)

Item	Please fill in
Describe environmental negative impacts/effects in detail:	
Do quantitative data exist for evaluation of environmental negative impacts/effects?	Yes/no
Describe social/cultural negative impacts/effects in detail:	
Do quantitative data exist for evaluation of social negative impacts/effects?	Yes/no
Describe economic negative impacts/effects in detail:	
Do quantitative data exist for evaluation of economic negative impacts/effects?	Yes/no

4) Other obstacles encountered:

5) Other:

Annex IV

UNIFORM REPORTING FORMAT: NATIONAL PROGRAMME ON ACTIVITIES IMPLEMENTED JOINTLY UNDER THE PILOT PHASE:

1. Designated national authority for activities implemented jointly

A) Please fill in if not already communicated or if changes have occurred.

Item	Please fill in if applicable
Name of the national authority:	
Name of the national authority (English):	
Department:	
Acronym:	
Acronym (English):	
Function within activity:	(standard classifiers to be developed)
Street:	
Post code:	
City:	
Country:	
Telephone:	
Fax:	
E-mail:	
WWW-URL:	
Contact person (for this activity):	----------
Surname:	
First name, middle name:	
Job title:	
Direct tel:	
Direct fax:	
Direct E-mail:	

2. Description of programme structure and features:

3. Process for obtaining approval

A) Brief description of procedure:

B) List all criteria for national acceptance of an activity implemented jointly:

a) Criteria that support decision 5/CP.1:

b) Other criteria for national acceptance of AIJ:

4. Summary of activities

A) Summary of AIJ projects reported under annex III:

Type of project[a]	Title of activity	Stage of activity[b]	Remarks	GHGs			
				CO_2	CH_4	N_2O	Other
		Mutually agreed / in progress / completed		□	□	□	□
		Mutually agreed / in progress / completed		□	□	□	□

[a] For example using IPCC classification: energy efficiency; renewable energy; fuel switching; forest preservation, restoration or reforestation; afforestation; fugitive gas capture; industrial processes; solvents; agriculture; waste disposal or bunker fuels.

[b] Circle the appropriate option.

B) Non project activities:

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